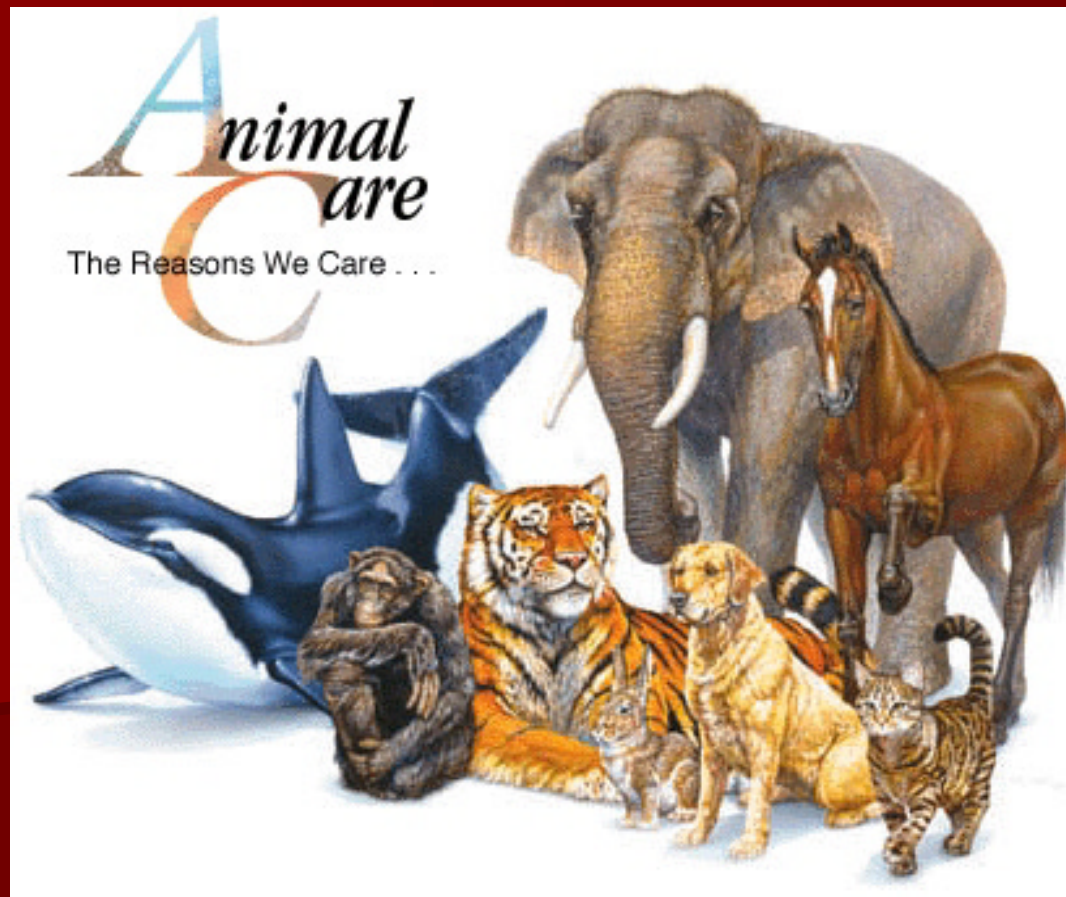


USDA, APHIS, Animal Care

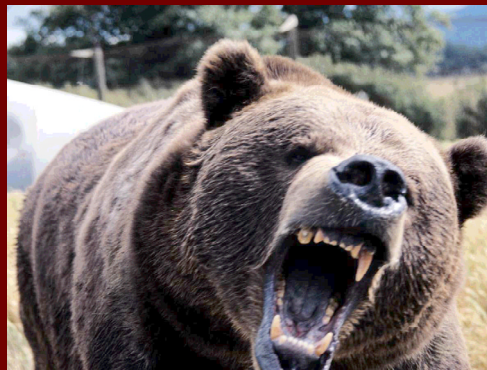
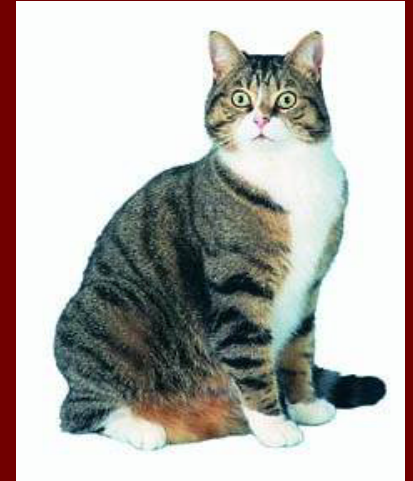
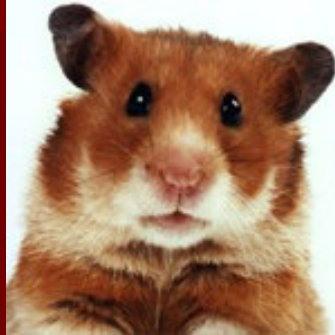
The Animal Welfare Act



Animal Welfare Act

1. To insure that animals intended for use in research facilities or for exhibition or for use as pet are provided humane care and treatment
2. To assure the humane treatment of animals during transportation in commerce
3. To protect against the theft of animals by preventing the sale or use of animals which have been stolen.

Animals Regulated Under the AWA



Animals NOT Regulated Under the AWA



AWA Regulated Activities

- Commercial Dealers (licensed)
- Public Exhibition (licensed)
- Biomedical Research (registered)
- Commercial Transportation (registered)
- Animal Fighting Ventures (prohibited)

Licensees and Registrants

National

- Dealers = 4,970
 - 90% kennels
- Exhibitors = 2,750
- Research = 1,270
- Transportation = 510
- Total ~ 9,500

Iowa

- Dealers = 418
 - 90+% kennels
- Exhibitors = 37
- Research = 26
- Transportation = 3
- Total = 484

Facility Counts by State

- Missouri – 1556
- California – 541
- Oklahoma – 536
- Texas – 520
- Iowa – 484

Commercial Dealers

- Class A - Breeders
- Class B – Brokers, Auctions, Distributors
- Wholesale vs. Retail

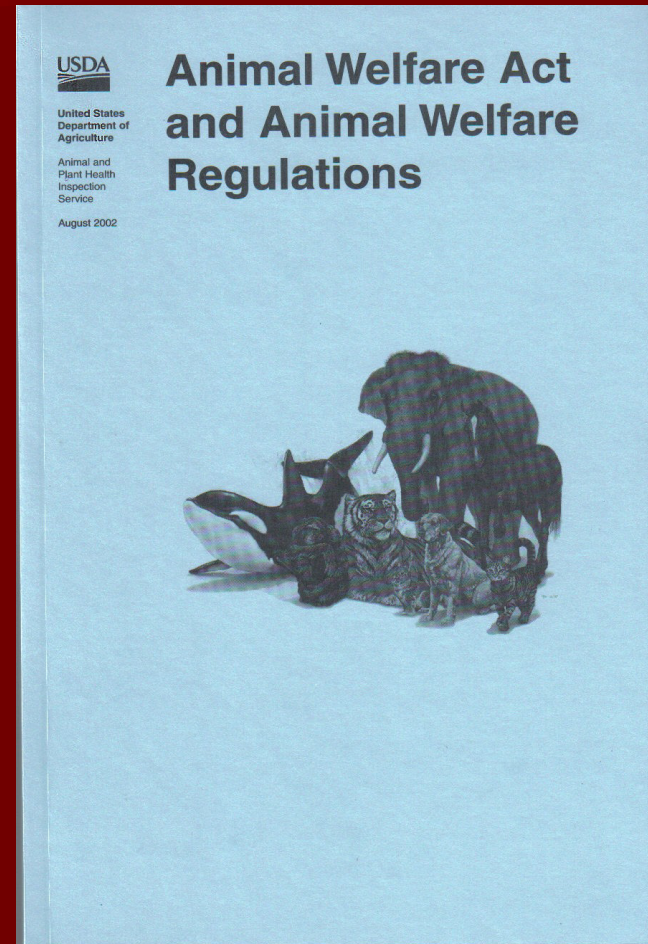


Licensing Process

- Application
- Pre-license inspection
- Fee
- Yearly renewal (submit renewal application and fees)

Inspection Process

- Scheduled pre-license inspections
- Routine unannounced inspections once licensed
- Searches
- Complaints
- Based on the AWA regulations and standards



Risk Based Inspection System

- We have resources to conduct about 1.6 inspections (average) at each facility each year
- Have to prioritize inspections to direct our resources to facilities that have a higher risk of problems
- Minimum frequency of inspection is based on the probability of occurrence of noncompliance, and the potential for negative consequences
- Factors include the type of business or activity, the species of animal(s) present, the potential for negative animal impacts, the potential for human injury, and compliance history
- Lowest = Complaint only; Highest = every 3 months
 - Authority to inspect as often as necessary

Inspections

- Animals
- Husbandry
- Facilities
- Veterinary care program
- Handling
- Exercise for dogs
- Transportation
- Identification
- Records

Inspection Report

- Central database
- Narrative reports
- Only noncompliant items are documented
- Correction instructions documented for new noncompliant items
- All inspection reports for licensees are now on-line

Inspections FY '2008

National

- Dealers = 7,200
- Exhibitors = 4,200
- Research = 1,800
- Transportation = 1,200
- Attempted = 1,100
- Total 2008 ~ 15,500

Iowa

- Dealers = 617
- Exhibitors = 60
- Research = 25
- Transportation = 2
- Attempted = 36
- Total = 740

Inspectorate

National

- 105 Inspectors
 - 50% ACIs, 50% VMOs
- 12 Field Supervisors
 - 100% VMOs
- 2 Regional Offices

Iowa

- 3 ACIs
- 1 VMO
- Assistance from Illinois inspector and Missouri inspector

Inspectorate

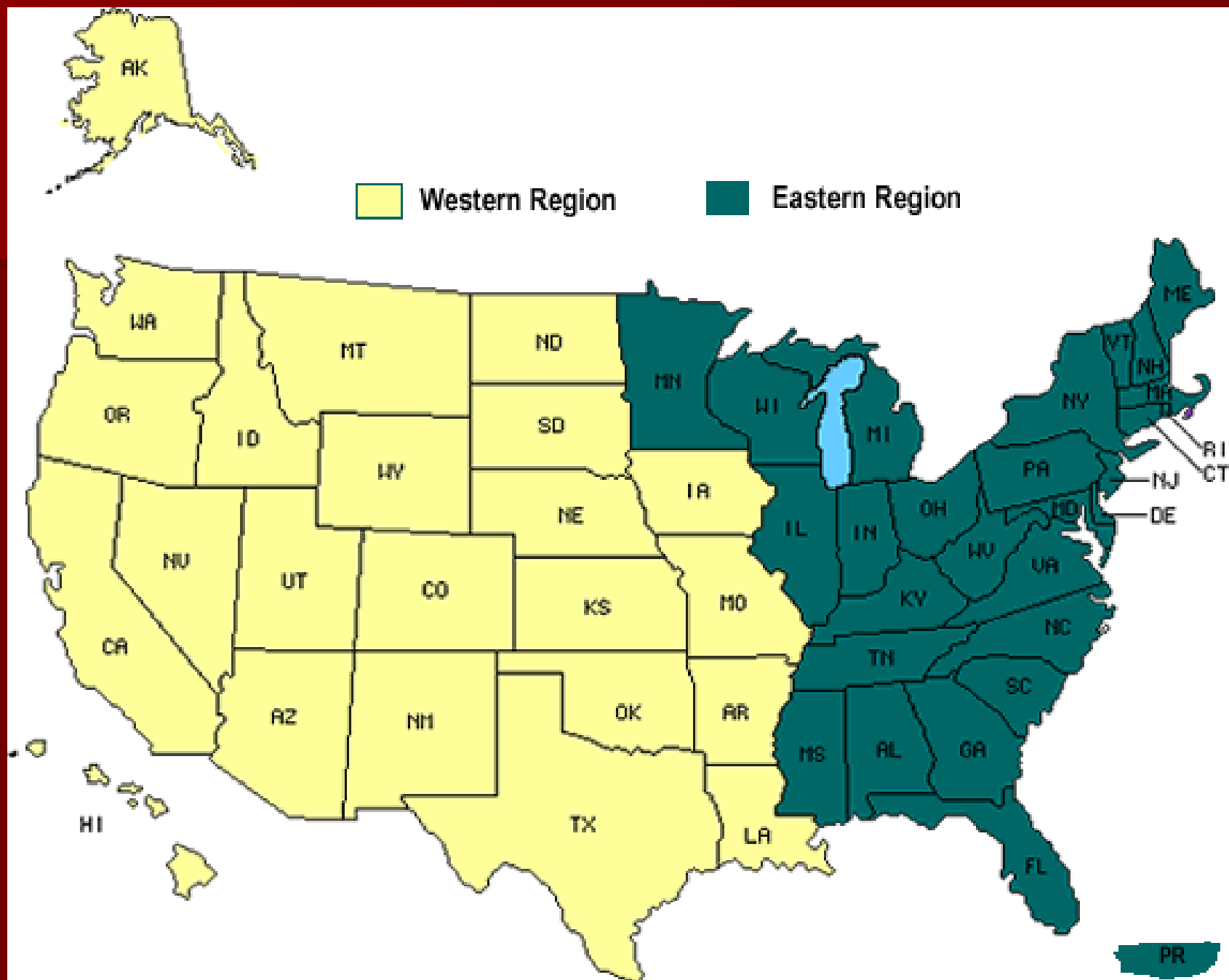
VMOs responsible for all facility types

- Biomedical research
- Marine mammals
- Severe veterinary care violations

ACIs responsible for all facility types except those listed above

60 to 180 facilities/inspector

- Some inspectors are responsible for multiple states, while others are responsible for as few as 4 counties (based on concentration and types of facilities)



Enforcement

- AWA is an administrative law
 - No criminal penalties
- Must give notice and opportunity to correct
- Due process
- Enforcement action is considered when serious noncompliance, repeat noncompliance, and/or chronic noncompliance is documented

Enforcement Tools

- Warnings
- Fines
 - Stipulated agreement
 - Formal charges
 - Maximum 10K per violation
- License Suspension
 - Only via administrative hearing process
- License Revocation
 - Only via administrative hearing process

Enforcement Numbers in Iowa

1/1/2007 – 8/31/2008

- Official Warning – 16
- Stipulation – 7
- Decision and Order – 4

FY '2009 (through Sept 1)

- Official Warning – 7
- Stipulation – 0
- Decision and Order – 2

Currently have 8 open investigations

Confiscation

- Unrelieved animal suffering
- Result of violation of the AWA
- Notice and opportunity to correct
- Animals placed permanently

Education

- Canine Care Workshops
- Industry meetings
- Center for Animal Welfare

Additional Mandates

- Enforce Horse Protection Act
- Agency lead for pet evacuation and sheltering in disasters

AC Website

www.aphis.usda.gov/animal_welfare/index.shtml

- ✓ Current issues and notices
- ✓ AWA, regulations, policies and other publications
- ✓ Lists of licensees and registrants
- ✓ File a facility complaint
- ✓ Print forms
- ✓ Order forms (including application kits)
- ✓ Links to related sites
- ✓ Horse Protection Act information
- ✓ Pet evacuation and sheltering information
- ✓ E-FOIA link (including inspection reports and annual reports)
- ✓ FOIA requests
- ✓ Future – apply for license/registration

Animal Care

The Reasons We Care . . .

